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UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)



ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

**BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract 41 pages) and Sequence Listing (7 pages) of:

Inventor(s): **C. Neal Stewart, Jr. and Roxanne M. Broadway**

For : **CABBAGE PROTEINASE INHIBITOR GENE CONFERS RESISTANCE  
AGAINST PLANT PESTS**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

☒ 7 sheets of informal drawings.

☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages).

☐ **Copy of signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).

☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).

☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.

☐ Assignment (\_\_\_\_\_ pages) of the invention to \_\_\_\_\_.

☐ Assignment Transmittal Letter.

☐ Certified copy of a foreign priority document.

☐ Associate power of attorney.

☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_ pages) and \_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:


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FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	28 - 20 =	8	x 9 =	\$ 72	OR	x 18 =	\$
INDEP CLAIMS	2 - 3 =	0	x 40 =	\$ 0	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$ 0	OR	x270 =	\$
			TOTAL	\$427	OR	TOTAL	\$

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☒ A check in the amount of **\$427.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: March 30, 2001

  
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### EXPRESS MAIL CERTIFICATE

DOCKET NO. : 19603/2420 (CRF D-2354)  
APPLICANTS : C. Neal Stewart, Jr.  
Roxanne M. Broadway  
TITLE : CABBAGE PROTEINASE INHIBITOR GENE CONFERS  
RESISTANCE AGAINST PLANT PESTS

Certificate is attached to the **Statement in Accordance with 37 CFR § 1.821(f) and the 3.5" Diskette Containing Sequence Listing** of the above-named application.

"EXPRESS MAIL" NUMBER: EL709323722US  
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**Ruth R. Smith**

(Typed or printed name of person mailing  
paper or fee)

*Ruth R. Smith*

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RESISTANCE AGAINST PLANT PESTS

Certificate is attached to the **Informal Drawings (7 pages)** of the above-named application.

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DATE OF DEPOSIT: March 30, 2001

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